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# **ORIGINAL ARTICLE**

# Assessment of frailty in the person with type 2 diabetes mellitus: Expert analysis

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17	KEYWORDS	Abstract
18	Type 2 diabetes;	Introduction: People with type 2 diabetes mellitus (DM2) have a higher prevalence of frailty
19	Fraility;	compared to those without DM2. However, there is a lack of consensus on the diagnosis and
20	Diagnosis;	clinical management of frail individuals with DM2.
21	Definition;	Objectives: This study aims to identify limitations and current needs in the use of the frailty
22	Factors;	concept in PCDM2 (people with DM2), as well as define and evaluate the dimensions that should
23	Experts	be included in its routine clinical assessment.
24		Methods: A multidisciplinary team of eight health professionals from different hospitals in Spain
25		participated in a process based on the nominal group technique.
26		Results: The study identified eight limitations in the assessment of frailty in PCDM2, categorized
27		by importance, and 10 unmet needs related to the diagnosis and follow-up of the disease.
28		Additionally, seven dimensions were identified that should be included in the definition of frail
29		individuals with DM2, prioritized by importance and novelty.
30		Conclusions: This article aims to increase knowledge and usage of the frailty concept in individ-
31		uals with DM2 within the medical community. It also suggests the potential for future projects
32		to develop a consensus definition of frailty tailored to this specific group.
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34		reserved.

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36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	PALABRAS CLAVE Diabetes mellitus tipo 2; Fragilidad; Diagnóstico; Definición; Factores; Expertos	<ul> <li>Valoración de la fragilidad en la persona con diabetes mellitus tipo 2: análisis de expertos</li> <li>Resumen Introducción: Las personas con diabetes mellitus tipo 2 (DM2) tienen una prevalencia de fragilidad que se estima entre 3 y 5 veces mayor que aquellos que no la padecen, sin embargo, no existe un consenso claro sobre el diagnóstico y manejo clínico durante el itinerario de la persona frágil con DM2. Objetivos: El objetivo principal de este estudio es identificar las limitaciones y necesidades actuales en el uso del concepto de fragilidad en personas con DM2 (PCDM2), así como definir y evaluar, según su importancia y novedad, las dimensiones que podrían incluirse en su valoración clínica de rutina. Métodos: Se llevó a cabo un proceso basado en la técnica de grupo nominal con la participación de un equipo multidisciplinario de 8 profesionales de la salud que trabajan en diferentes hospitales de España. Resultados: Se identificaron y clasificaron según su importancia un total de 8 limitaciones en la evaluación de la fragilidad en PCDM2, así como 10 necesidades no satisfechas relacionadas con el diagnóstico y seguimiento de la enfermedad. Además, se identificaron 7 dimensiones que consideramos que deben incluirse en la definición de la persona frágil con DM2, ordenadas por importancia y novedad. Conclusiones: El presente artículo podría lograr aumentar el conocimiento y uso en la comunidad médica del concepto de fragilidad en la persona con DM2 y desembocar en un futuro proyecto que logre realizar, de manera consensuada, una definición de fragilidad adaptada a este colectivo. © 2023 Elsevier España, S.L.U. y Sociedad Española de Medicina Interna (SEMI). Todos los derechos reservados.</li></ul>
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### 61 Introduction

Frailty is a dynamic, complex syndrome and the risk of pre-62 senting with it increases with age.<sup>1</sup> It is characterized by <sub>63</sub> **Q4** increasing the individual's vulnerability to external factors 64 and the risk of health problems.<sup>1</sup> Although frailty tends to 65 be related to physical and performance status,<sup>1</sup> there is 66 growing evidence that it could be defined in a multidimen-67 sional manner, encompassing physiological, psychological, 68 cognitive, nutritional, and social aspects.<sup>2-4</sup> Due to the com-69 plexity of evaluating frailty and the lack of a standard for 70 diagnosis, comparing results from different studies is a great 71 challenge.<sup>3,5</sup> 72

Individuals with type 2 diabetes mellitus (DM2) are esti mated to have a prevalence of frailty between three to five
 times greater than those without DM2.<sup>6,7</sup> In Spain, frailty
 syndrome affects 14.6% of individuals with DM2 (IWDM2),
 which is present in 13.8% of the Spanish population and
 increases in frequency in advanced age.<sup>8</sup>

The coexistence of both conditions has negative effects 79 on health, psychosocial wellbeing, and quality of life, which 80 in turn increases healthcare costs for IWDM2 and frailty.9-11 81 The relationship between frailty and DM2 is complex. There 82 is significant evidence that DM2 contributes to the onset and 83 increases the severity of frailty.<sup>12,13</sup> Hyperglycemia is related 84 to its onset and levels of glycated hemoglobin are associated 85 with its severity.<sup>14</sup> The vascular complications of DM2 are also associated with inactivity and physical and cognitive 87 decline, which may lead to the onset of frailty.<sup>15</sup> 88

Appropriate treatment aims for IWDM2 vary according to frailty.<sup>16,17</sup> IWDM2 and frailty are more prone to hypoglycemia and more vulnerable to its consequences, such as falls, fractures, hospitalizations, cardiovascular episodes, and mortality.<sup>16</sup> The identification of frailty and its ongoing assessment may help avoid related complications and achieve better treatment adjustment, improving safety, adherence, and care for individuals with frailty and DM2.<sup>18,19</sup>

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Despite the association between and importance of both conditions and the significant advances on this matter in Spain, there is still no clear consensus on the diagnosis and clinical management of individuals with frailty and DM2. This study aims to identify the current limitations and needs on the use of the concept of frailty in IWDM2 and define and evaluate the dimensions that could be included in its routine clinical assessment, considering their importance and novelty.

# Methods

### Participants

The study was conducted by a scientific committee (SC) comprising eight members with different medical specialties, including four primary care physicians, two endocrinologists, and two internal medicine physicians (the authors of this article). The Anima Strategic Consulting medical agency

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participated in the conduct of the project and facilitated
 meetings and communication between SC members.

### 116 Phases

The project consisted of four phases, which are described in 117 Fig. 2. In phase 1, the project was designed and a literature 118 search was conducted to compile relevant information on 119 the management and use of the concept of frailty in IWDM2. 120 In phase 2, an online questionnaire was distributed to the SC 121 and the responses were gathered and summarized. In phase 122 3, a meeting of the SC was held in which the questionnaire 123 responses were presented and classified. The key issues on 124 frailty in IWDM2 were discussed. Phase 4 consisted of writing 125 the manuscript, which included an abstract and the results 126 of the project. 127

### 128 Nominal group technique

Nominal group technique (NGT) is used to ensure equitable 129 participation among all members and promote express-130 ing opinions during the project.<sup>20,21</sup> In the first step, the 131 SC created a series of questions which were distributed 132 through an online questionnaire (Table 5). Each SC mem-133 ber responded to the questionnaire individually. Later, 134 the responses were grouped in order to be discussed in 135 an online meeting that took place on February 1, 2023. 136 During the meeting, the ideas generated were debated 137 and prioritized by means of voting using the Mentimeter 138 tool (https://www.mentimeter.com). This tool allowed for 139 anonymous online voting and immediate viewing of the 140 results. Thanks to NGT, equitable representation of all parti-141 cipants was achieved and individual influence on the group's 142 decisions were limited.<sup>20,21</sup> 143

### 144 Results

# <sup>145</sup> Current limitations in the assessment of frailty in<sup>146</sup> IWDM2

Eight limitations were identified in the assessment of frailty 147 in IWDM2, valued by the SC according to their importance 148 (Table 1). The most important limitation was determined to 149 be ''lack of a definition of frailty/lack of standardization of 150 the definition of frailty," followed by "frailty is not diag-151 nosed due to lack of time," and "it is not known when to 152 use the screening tool in individuals with DM2." The least 153 important limitation according to the SC was "there is no 154 tool for evaluating frailty in DM2." 155

# Current unmet needs of individuals with frailty and type 2 diabetes mellitus

Ten unmet needs of IWDM2 and frailty were detected and evaluated by the SC in terms of importance (see Table 2). The three needs chosen as the most significant were, from greatest to least, "being diagnosed as a individual with frailty and DM2 during routine visits," "receiving preventive interventions to delay onset of frailty," and "receive a holistic assessment and greater support for diabetes, frailty, and complications." The least important needs according to the SC were "being represented in clinical trials to have robust evidence on appropriate DM2 management."

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### Current definition of frailty in IWDM2

# Current definition of frailty from Spain's Ministry of Health

A vote was taken on the usefulness and practicality of the definition of frailty provided by the Ministry of Health for use in IWDM2 (Fig. 3; ''Frailty is defined as progressive agerelated deterioration of physiological systems that causes a decline in reserves of intrinsic ability, which confers greater vulnerability to stressors and increases the risk of a series of adverse health outcomes.'')<sup>22</sup> The mean result of the SC vote was 3.4 (out of 5).

### Dimensions for the assessment of frailty in IWDM2

Seven relevant dimensions were identified that should be considered when assessing frailty in a person with DM2. Their assessment, in terms of importance, is shown in Table 3. According to the SC, the most notable dimension was ''age/life expectancy,'' followed by ''comorbidities (degree of severity) and polymedication (level of complexity),'' and ''physical status (performance status).'' On the other hand, ''socioeconomic determinants'' was considered the least relevant dimension according to the SC.

The SC also evaluated the degree of novelty of the dimensions that should be considered when evaluating frailty in IWDM2 (Table 4). The most novel dimensions were ''socioeconomic determinants,'' followed by ''cognitive condition and emotional status,'' and ''lifestyle and dietary habits,'' as options with the next highest ranking. On the other hand, ''age/ life expectancy'' was considered the least novel dimension.

### Discussion

This study identified the current limitations and needs in the use of the concept of frailty in IWDM2. Eight important limitations in the assessment of frailty in IWDM2 as well as ten unmet needs in the diagnosis and follow-up on this disease were identified and ordered. The definition of frailty in the context of DM2 was also discussed, evaluating the description established by Spain's Ministry of Health.<sup>22</sup> What's more, seven dimensions were identified that the authors believe should be included in the definition of IWDM2, ordered by importance and by novelty.

Among the limitations of the assessment of frailty in IWDM2, ''lack of a definition of frailty/lack of standardization of the definition of frailty'' was evaluated as the least important limitation. In light of this opinion, the authors turned to the definition of frailty published by the Spanish Ministry of Health<sup>22</sup> for the evaluation of the definition of frailty and assessed the usefulness and practicality of its use in IWDM2. After the vote (mean degree of acceptance of 3.4 out of 5), the authors concluded that this definition focuses solely on functioning and did not establish diagnostic criteria. What's more, this conceptualization was considered to be complex and difficult to understand, marginalizing other key dimensions of frailty such as sociological and psycho-

Limitations	1st position	2nd position	3rd position	4th position	5th position	6th position	7th position	8th position	Ranking
Lack of a definition of frailty/lack of standardization of the definition of frailty	1	2	1	1	1	0	0	1	1
Frailty is not diagnosed due to lack of time	2	1	0	1	1	1	0	0	2
It is not known when to use the screening tool in individuals with DM2	1	2	0	2	0	0	0	1	3
There is no global assessment of the patient	1	0	1	1	1	0	2	0	4
The current assessment often omits aspects such as social or personal conditioning factors, the individual's philosophy on various personal matters, polypharmacy, and comorbidities	1	0	1	0	1	2	0	1	5
Lack of a definition which links frailty and DM2	0	1	1	0	1	1	2	0	6
The heterogeneity of screening and assessment tools which only function for specific scopes 1	1	0	0	1	1	1	1	1	7
There is no tool for assessing frailty in DM2	0	0	2	0	0	1	1	2	8

### Q1

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Needs	1st position	2nd position	3rd position	4th position	5th position	6th position	7th position	8th position	9th position	10th position	Ranking
Being diagnosed as an individual with	5	1	0	0	1	0	0	0	1	0	1
frailty and DM2 in routine visits	5	I	U	U		U	U	U	I	U	
Receiving preventive interventions to delay the onset of frailty	3	2	1	0	1	1	0	0	0	0	2
Receiving a holistic assessment and greater support for diabetes, frailty, and complications	0	2	1	0	1	2	1	1	0	0	3
Having personalized goals for each accompanying disorder and the deintensification and/or represcribing of pharmacological treatment	0	1	1	1	1	1	3	0	0	0	4
mproving quality of life through better coordination among all agents and support networks involved	0	1	0	3	1	1	0	1	1	0	5
Receiving actions and recommendations aimed at nutrition and physical activity	0	0	3	0	1	2	0	1	0	1	6
Receiving drug treatment for DM2 which does not cause hypoglycemia or side effects (e.g. sarcopenia)	0	0	2	1	2	1	0	1	0	1	7
Participating in programs that prevent the progression of sarcopenia or loss of muscle mass and strength	0	0	0	2	0	0	3	2	1	0	8
laving early detection and management of dementia, depression, and anxiety	0	1	0	0	0	0	0	2	4	1	9
eing represented in clinical trials to have robust evidence on appropriate DM2 management	0	0	0	1	0	0	1	0	1	5	10

 Table 2
 Unmet needs of individuals with frailty and type 2 diabetes mellitus.

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Dimensions	1st position	2nd position	3rd position	4th position	5th position	6th position	7th position	Ranking
Age/life expectancy	6	0	0	0	0	0	1	1
Comorbidities (degree of severity) and polymedication (level of complexity)	0	3	2	1	1	0	0	2
Physical status (performance status)	1	2	1	1	1	0	1	3
Cognitive condition and emotional status	0	0	3	2	2	0	0	4
Current DM2 situation (time since onset)	0	2	0	0	1	2	2	5
Lifestyle and dietary habits	0	0	1	2	0	3	1	6
Socioeconomic determinants	0	0	0	1	2	2	2	7

Table 3 Dimensions to take into account to evaluate frailty of individuals with type 2 diabetes mellitus according to their relevance.

Table 4 Dimensions to take into account to evaluate frailty of individuals with type 2 diabetes mellitus according to their novelty.

Dimensions	1st position	2nd position	3rd position	4th position	5th position	6th position	7th position	Ranking
Socioeconomic determinants	1	3	0	1	2	0	0	1
Cognitive condition and emotional status	2	1	2	0	1	1	0	2
Lifestyle and dietary habits	1	1	2	1	2	0	0	3
Physical status (performance status)	2	2	0	0	1	1	1	4
Current DM2 situation (time since onset)	1	0	2	1	1	2	0	5
Comorbidities (degree of severity) and polymedication (level of complexity)	0	0	0	4	0	3	0	6
Age/life expectancy	0	0	1	0	0	0	6	7

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### Table 5 Questionnaire created in phase 2.

How would you define an individual with frailty and DM2? What needs do you consider an individual with frailty and DM2 to have and why? What types of individuals with frailty and DM2 or patient profiles do you come across in your daily practice? What main limitations would you highlight in the assessment of frailty in DM2? How do you evaluate frailty in an individual with DM2 in your consultation? What variables must be considered (which are not considered at present) in order to define frailty in an individual? DM2: type 2 diabetes mellitus.

logical aspects, among others. Therefore, it is believed that the definition of frailty from Spain's Ministry of Health is not 222 optimal for clinical use in IWDM2,<sup>22</sup> although it is a starting 223 point. 224

Given that there is no definition of frailty that can 225 be used in the clinical management of DM2, this work 226 wanted to be a first step in its creation. Therefore, 227 seven parameters were identified that should be evalu-228 ated during routine clinical practice. Among the dimensions 229 defined were ''socioeconomic determinants,'' ''cognitive condition and emotional status," "lifestyle and dietary habits," "physical status (performance status)," "current DM2 situation (time since onset)," "comorbidities (degree of severity) and polymedication (level of complexity)," and ''age/life expectancy.'' A disease-dependent dimension was included among the parameters proposed, namely "current DM2 situation (time since onset)," which sets this definition of frailty apart from other, more general ones. In addition, dimensions that are normally not included in frailty scales were also included and thus were voted

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as very novel, including ''socioeconomic determinants,''
''cognitive condition and emotional status,'' and ''lifestyle
and dietary habits.''

It should be remembered that there are two approaches 242 mainly used to characterize frailty in the literature. The first 243 considers frailty as a phenotype of poor physical function, 244 fundamentally supported by two objective measurements: 245 handgrip strength and gait speed (physical frailty). The sec-246 ond approach considers frailty in a much more global manner 247 as the consequence of the cumulative deficit of comorbidi-248 ties, disability, symptoms, and laboratory data associated 249 with poor outcomes (multidimensional frailty). Therefore, 250 its assessment includes comorbidity and dependence.<sup>23</sup> In 251

this sense, the World Health Organization (WHO) defines the terms of intrinsic capacity (IC) and extrinsic factors.<sup>24</sup>

According to the WHO, IC is a multidimensional health indicator which takes into account crucial mental and physical capacities for individuals to continue leading their lives in a healthy manner.<sup>24</sup> The focus agreed upon in this work is multidimensional in nature, in line with what is stated by the WHO. ''Cognitive condition and emotional status,'' ''age and life expectancy,'' ''comorbidities (degree of severity) and polymedication (level of complexity),'' ''physical status (performance status),'' and ''current DM2 situation (time since onset),'' can be encompassed under IC described by the WHO whereas ''socioeconomic determinants,'' and

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Figure 3 Usefulness and practicality of the definition of frailty provided by the Ministry of Health for use in individuals with type 2 diabetes mellitus.

"lifestyle and dietary habits" would be extrinsic factors 265 (Fig. 1). As discussed above, establishing these seven dimen-266 sions to define the conceptual framework of frailty in IWDM2 267 is a first step toward its definition. 268

This study identified and evaluated limitations in the 269 assessment of individuals with frailty and DM2, concluding 270 that a lack of time and knowledge about the right moment 271 for diagnosis are the second and third most important limi-272 tations to its use. The authors believe it is necessary not only 273 to implement a frailty definition and diagnostic tool but also 274 to establish the best time to do so, taking into account the 275 time necessary for diagnosis. In this regard, the FRAIL scale 276 is being used more and more in clinical practice because 277 its five questions can be completed in just a few minutes.<sup>25</sup> 278 This scale serves to evaluate frailty in IWDM2, proving to be 279 a useful tool for predicting worsening health.<sup>2</sup> 280

Through the debate sparked while conducting this study, 281 ten unmet needs of individuals with frailty and DM2 were 282 identified. The three needs selected as most significant were 283 being diagnosed as a person with frailty during routine vis-284 its, receiving preventive interventions which delay onset of 285 frailty, and receiving a holistic evaluation and more support 286 for the disease and its complications. The lack of a defini-287 tion and diagnostic criteria of frailty in DM2 may be one of 288 the main causes of these limitations. In addition, the holistic 289 treatment of older adults with diabetes is sometimes inade-290 quate and inappropriate because three important elements 291 of IWDM2 are not taken into account. These are the complex 292 management of the disease; the need for an individualized 293 focus in their care; and the assessment of age-related phys-294

iology and pharmacology, which increase the risk of adverse drug reactions.<sup>27,28</sup>

This work has several limitations. First, though the sample size was within the standard range for NGT,<sup>29</sup> it was small compared to the number of healthcare professionals involved in managing IWDM2. To address this limitation, a group of experts in different disciplines was used to ensure representation of various areas. However, certain specialties, such as geriatrics, were not included in this study, which limits its perspective. Another limitation is that the experts on the SC work exclusively for Spain's healthcare system. Therefore, the conclusions of this study may need to be adapted in order to use them in other healthcare systems. Lastly, all meetings were held online, which may increase communication bias, though it also promoted greater participation.<sup>30</sup>

### Conclusions

In conclusion, this study identified the current limitations and needs in the use of the concept of frailty in IWDM2. 313 Eight limitations and ten unmet needs were determined for individuals with frailty and DM2. The definition of frailty 315 from Spain's Ministry of Health was evaluated and seven 316 important dimensions for evaluating frailty in IWDM2 were 317 identified. Age is the most important component, but it 318 is followed in order by comorbidities and polymedication, 319 physical status, cognitive and emotional status, current 320 DM2 situation, lifestyle and dietary habits, and socioeco-321

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nomic determinants. Ideally, all of these dimensions should 322 be evaluated in clinical practice. This article may spark 323 debate in the medical community, improve knowledge on 324 the dimensions that impact frailty in IWDM2, and promote 325 the clinical use of the concept of frailty in IWDM2. A future 326 continuation of this project could involve the creation of an 327 agreed-upon definition of frailty for IWDM2, which would 328 be useful for health professionals and may contribute to 329 improving health outcomes. 330

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## 335 Conflicts of interest

Amparo Marco has received funding from AMGEN, 336 AstraZeneca, Boehringer, Ipsen, Lilly, Novo Nordisk, and 337 Sanofi. Ane Urbina has received funding from AstraZeneca, 338 Boehringer Ingelheim, Esteve, Lilly, Menarini, MSD, and 339 Novo Nordisk. Francesc Formiga has received funding from 340 Abbott, AstraZeneca, Boehringer Ingelheim, Bristol-Myers, 341 Insulcloud, Novartis, Novo Nordisk and Sanofi, Laura 342 Romera-Liebana has received funding from AstraZeneca, 343 Boehringer Ingelheim, Lilly, and Menarini. Pilar Cubo-344 Romano has received funding from Advanz Pharma, 345 Boehringer Ingelheim, Esteve, Faes Farma, Fresenius Kabi, 346 Gebro Farma, Glaxosmithkline, Menarini, Nestle, Novartis 347 and Zambon. Francesc Formiga, Rafael Micó, and José 348 349Q6 Javier Mediavilla-Bravo have no conflicts of interest.

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